

Two General Routes to 1,4-Disubstituted-2,3,4,5-tetrahydro-1*H*-3-benzazepines

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Supporting Information Available: Synthetic procedures for the preparation of **9a** from **4a** and **16a** from **10**.

Amino Alcohol **7a**

To a 25° C solution of ketone **4a** (730 mg, 3.76 mmol) in anhydrous MeOH was added α -(aminomethyl)benzyl alcohol (**6**, 1.30 g, 9.49 mmol) and glacial acetic acid (290 μ L, 4.9 mmol) and the resulting solution was stirred for 10 minutes. Sodium cyanoborohydride (235 mg, 3.8 mmol) was added and the solution was stirred for 15 hours at 25° C. The crude reaction mixture was concentrated *in vacuo* to a white solid which was treated with 1 M HCl_(aq) (20 mL, 20 mmol) to quench any remaining borohydride. (Caution! Vigorous gas evolution). The resulting solution was stirred for 1 hour, and the mixture was neutralized with 1 M NaOH_(aq). The aqueous layer was extracted with EtOAc (3 x 10 mL) and the combined organics were extracted with saturated NaCl_(aq), dried over MgSO₄, filtered and concentrated *in vacuo* to a pale yellow oil. The oil was purified by flash chromatography (75%-100% EtOAc/Hexanes gradient) to afford **7a** (1.09 g, 92% yield) as a clear oil. Typically, the crude material obtained in this reaction was taken forward into the cyclization step without further purification.

¹H NMR (300 MHz, CDCl₃) δ 1.03 (d, 3H, *J*=6.4 Hz), 2.40 (diastereotopic s, 3H), 2.41 (diastereotopic s, 3H), 2.44-3.10 (m, 4H), 3.90 (s, 3H), 3.92 (s, 3H), 3.93 (m, 1H), 4.64 (m, 1H), 6.85-7.00 (m, 3H), 7.30-7.40 (m, 5H)

3-Benzazepine **8a**

Amino alcohol **7a** (0.96 g, 3.04 mmol) was cooled to 0° C and methanesulfonic acid (3 mL) was added in one portion. The resulting solution was stirred for 1 hour at 0° C, then warmed to 25° C over 1 hour. The crude reaction mixture was poured over ice and neutralized with concentrated NH₄OH. The aqueous layer was extracted with EtOAc (3 x 10 mL) and the combined organics were extracted with saturated NaCl_(aq), dried over MgSO₄, filtered and concentrated *in vacuo* to afford a pale yellow oil. The oil was purified by flash chromatography (75%-100% EtOAc/Hexanes) to afford **8a** (0.71 g, 79% yield) as an unassignable mixture of diastereomers.

¹H NMR (300 MHz, CDCl₃) δ 1.10 (d, 3H, *J*=6.5 Hz), 2.60-2.80 (m, 2H), 3.11 (m, 1H), 3.22 (dd, 1H, *J*=13.8, 2.7 Hz), 3.69 (dd, 1H, *J*=13.8, 6.6 Hz), 3.90 (s, 3H), 3.92 (s, 3H), 4.16 (d, 1H, *J*=7.1 Hz), 6.64 (s, 1H), 6.70 (s, 1H), 7.10-7.40 (m, 5H)

3-Benzazepines *cis-9a* and *trans-9a*

To 3-Benzazepine **8a** (200 mg, 0.67 mmol) dissolved in methanol (4.0 mL) was added formaldehyde (1.0 mL of a 37% aqueous solution), glacial acetic acid (17 μ L, 0.30 mmol) and sodium cyanoborohydride (63 mg, 1.00 mmol). The resulting solution was stirred for 15 hours at 25° C, and the crude reaction mixture was concentrated *in vacuo* to a white solid which was treated with 1 M HCl_(aq) (4 mL, 4 mmol) to quench any remaining borohydride. (Caution! Vigorous gas evolution). The resulting solution was stirred for 1 hour, and the mixture was neutralized with 1 M NaOH_(aq). The aqueous layer was extracted with EtOAc (3 x 10 mL) and the combined organics were extracted with saturated NaCl_(aq), dried over MgSO₄, filtered and concentrated *in vacuo* to a pale yellow oil. The oil was purified by flash chromatography (75%-100% EtOAc/Hexanes gradient) to afford *trans-9a* (42 mg, 20% yield) and *cis-9a* (127 mg, 61% yield) as a clear oils.

cis-9a: ¹H NMR (400 MHz, CDCl₃) δ 0.87 (d, 3H, $J=6.8$ Hz), 2.50 (s, 3H), 2.72 (dd, 1H, $J=14.4, 6.8$ Hz), 2.88 (dd, 1H, $J=13.2, 2.0$ Hz), 3.11 (m, 1H), 3.25 (dd, 1H, $J=13.2, 10.0$ Hz), 3.34 (d, 1H, $J=14.8$ Hz), 3.54 (s, 3H), 3.85 (s, 3H), 4.36 (d, 1H, $J=7.6$ Hz), 6.11 (s, 1H), 6.62 (s, 1H), 7.20 (d, 2H, $J=7.2$ Hz), 7.27 (t, 1H, $J=7.2$ Hz), 7.36 (t, 2H, $J=7.2$ Hz).

trans-11: ¹H NMR (400 MHz, CDCl₃) δ 1.09 (d, 3H, $J=6.0$ Hz), 2.42 (s, 3H), 2.09-2.81 (m, 2H), 2.97 (dd, 1H, $J=14.0, 2.0$ Hz), 3.11 (dd, 1H, $J=13.2, 8.8$ Hz), 3.18 (dd, 1H, $J=13.2, 4.0$ Hz), 3.66 (s, 3H), 3.87 (s, 3H), 4.36 (m, 1H), 6.34 (s, 1H), 6.64 (s, 1H), 7.15 (d, 2H, $J=7.6$), 7.23 (t, 1H, $J=7.6$), 7.36 (t, 2H, $J=7.6$)

Oxazolidine **13**

To a -78° C solution of Weinreb amide **10** (5.4 g, 25.8 mmoles) in dry THF (40 mL) was added diisobutylaluminum hydride (21.0 mL of a 1.5 M solution in toluene, 31.5 mmoles) over 30 minutes. The resulting solution was stirred at -78° C for 2 hours, then the reaction was quenched by inverse addition via cannula to 100 mL of a 1:1 mixture of Et₂O:saturated sodium potassium tartrate_(aq) at 0° C. The resulting cloudy mixture was stirred vigorously for 1 hour at 0° C, then the organic layer was separated and the aqueous layer was extracted with 100 mL of EtOAc. The combined organics were dried over

MgSO₄, filtered, and then amino alcohol **11** (3.9 g, 26.0 mmol) was added to the crude aldehyde solution. The solution was concentrated in vacuo to afford a tan oil which was azeotroped two times with 100 mL of toluene. The resulting crude oxazolidine was dissolved in 100 mL of EtOAc and extracted with saturated NH₄Cl_(aq) (3 x 30 mL) and saturated NaHCO_{3(aq)} (3 x 30 mL), then dried over MgSO₄, filtered, and concentrated *in vacuo* to afford oxazolidine **13** (6.6 g, 90% crude yield) as a 1.2:1 mixture of diastereomers. In practice, we would dissolve **13** in sufficient toluene to obtain a 1.0 M solution which could be stored at 0° C for 2 months without observing any decomposition.

13: ¹H NMR (400 MHz): δ 2.35 (diastereotopic s, 3H), 2.40 (diastereotopic s, 3H), 2.47 (diastereotopic t, 1H, *J*=9.4 Hz), 2.8-3.0 (m, 2H + 1 diastereotopic H), 3.10 (diastereotopic dd, 1H, *J*=10.2, 5.0 Hz), 3.51 (diastereotopic dd, 1H, *J*=9.4, 5.8 Hz), 3.78 (s, 3H), 4.24 (diastereotopic t, 1H, *J*=5.2 Hz), 4.33 (diastereotopic t, 1H, *J*=4.8 Hz), 4.99 (diastereotopic dd, 1H, *J*=7.0, 5.4 Hz), 5.04 (diastereotopic dd, 1H, *J*=9.4, 5.8 Hz), 6.83 (d, 2H, *J*=8.4 Hz), 7.2-7.4 (m, 7H).

Amino alcohol 14a

To a 0° C solution of oxazolidine **13** (350 mg, 1.23 mmol) in THF (4 mL) was added methylmagnesium bromide (1.2 mL of a 3.0 M solution in Et₂O, 3.60 mmol) and the resulting solution was stirred at 0° C for 1 hour, then warmed to 25° C and stirred for 2 hours. The solution was recooled to 0° C and the excess Grignard reagent was quenched with saturated NH₄Cl_(aq) (10 mL). The aqueous layer was extracted with EtOAc (2 x 10 mL) and the combined organics were extracted with saturated NaHCO_{3(aq)} (3 x 10 mL), dried over MgSO₄, filtered and concentrated in vacuo to an oil. The product was purified by flash chromatography (60% EtOAc:Hexanes) to afford amino alcohol **15a** (302 mg, 82%). Typically, the crude material obtained in this reaction was taken forward to the cyclization step without further purification.

15a: ¹H NMR (400 MHz, CDCl₃) δ 1.02 (d, 3H, *J*=6.4 Hz), 2.40 (diastereotopic s, 3H), 2.41 (diastereotopic s, 3H), 2.45-3.10 (m, 4H), 3.82 (s, 3H), 3.82 (m, 1H), 4.64 (m, 1H), 6.88 (d, 2H, *J*=8.5 Hz), 7.13 (d, 2H, *J*=8.5 Hz), 7.30-7.40 (m, 5H)

3-Benzazepine *cis*-16a and spiropiperidine dione *trans*-17a

Amino alcohol **15a** (210 mg, 0.70 mmol) was cooled to 0° C and methanesulfonic acid (1 mL) was added in one portion. The resulting solution was stirred for 1 hour at 0° C, then warmed to 25° C over 1 hour. The crude reaction mixture was poured over ice and neutralized with concentrated NH₄OH. The aqueous layer was extracted with EtOAc (3 x 5 mL) and the combined organics were extracted with saturated NaCl_(aq), dried over MgSO₄, filtered and concentrated *in vacuo* to afford a pale yellow oil. The oil was purified by flash chromatography (75%-100% EtOAc/Hexanes) to afford *cis*-**16a** (112 mg, 57% yield) and *trans*-**17a** (49 mg, 26%) as colorless oils.

cis-**16a**: ¹H NMR (300 MHz, CDCl₃) δ 0.87 (d, 3H, *J*=6.6 Hz), 2.53 (s, 3H), 2.73 (dd, 1H, *J*=14.6, 6.1 Hz), 2.94 (d, 1H, *J*=11.7 Hz), 3.19 (m, 1H), 3.29 (dd, 1H, *J*=13.2, 9.6 Hz), 3.46 (d, 1H, *J*=16.2 Hz), 3.65 (s, 3H), 4.43 (d, 1H, *J*=9.6 Hz), 6.16 (d, 1H, *J*=2.7 Hz), 6.65 (dd, 1H, *J*=8.3, 2.6 Hz), 7.04 (d, 1H, *J*=8.4 Hz), 7.20-7.25 (m, 5H).

trans-**17a**: ¹H NMR (400 MHz, CDCl₃): 1.15 (d, 3H, *J*=6.2 Hz), 1.46 (d, 1H, *J*=13.0 Hz), 1.83 (t, 1H, *J*=12.5 Hz), 2.27 (m, 1H), 2.42 (s, 3H), 2.95 (m, 2H), 3.20 (dd, 1H, *J*=9.0, 6.2 Hz), 6.05 (dd, 1H, *J*=10.1, 1.9 Hz), 6.24 (dd, 1H, *J*=10.4, 1.9 Hz), 6.76 (dd, 1H, *J*=10.1, 2.1 Hz), 7.53 (dd, 1H, *J*=10.6, 3.0 Hz)